

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Ben John HALFORD

Application No./Patent No.: 10/596,799 Filed/Issue Date: June 23, 2006

Entitled: IMPROVED TOOLING SYSTEM

Surface Generation Ltd., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature]

Signature

19/07/06

Date

BEN HALFORD

Printed or Typed Name

\_\_\_\_\_

Telephone Number

CEO

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 02, 2006

PTAS

**\*500132787A\***YOUNG LAW FIRM  
4370 ALPINE RD., STE. 106  
PORTOLA VALLEY, CA 94028

\*500132787A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/31/2006

REEL/FRAME: 018036/0983  
NUMBER OF PAGES: 3BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: MARK6010

## ASSIGNOR:

HALFORD, BEN JOHN

DOC DATE: 07/19/2006

## ASSIGNEE:

SURFACE GENERATION LTD.  
1B CENTURIAN BUSINESS PARK,  
STURROCK WAY  
BRETTON, PETERBOROUGH  
UNITED  
KINGDOM  
PE3 8YF

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

07/31/2006  
500132787

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Ben John Halford	07/19/2006
RECEIVING PARTY DATA	
Name:	Surface Generation Ltd.
Street Address:	1B Centurian Business Park, Sturrock Way
City:	Bretton, Peterborough
State/Country:	UNITED KINGDOM
Postal Code:	PE3 8YF
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10596799
CORRESPONDENCE DATA	
Fax Number:	(650)851-7232
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	650-851-7210
Email:	nita@younglawfirm.com
Correspondent Name:	YOUNG LAW FIRM
Address Line 1:	4370 ALPINE RD., STE. 106
Address Line 4:	PORTOLA VALLEY, CALIFORNIA 94028
ATTORNEY DOCKET NUMBER:	MARK6010
NAME OF SUBMITTER:	Alan W. Young, Reg. No. 37,970
Total Attachments: 2 source=6010 EXECUTED ASSIGNMENT#page1.tif source=6010 EXECUTED ASSIGNMENT#page2.tif	

## ASSIGNMENT

I, Ben John HALFORD, residing at 7 Redland Close, Barrowden, Rutland, Leicestershire LE15 8ES, UK, hereinafter ASSIGNOR, do hereby sell, assign and transfer to SURFACE GENERATION LTD., hereinafter ASSIGNEE, a corporation organized under the laws of the United Kingdom, and having its principal place of business at 1B Centurian Business Park, Sturrock Way, Bretton, Peterborough PE3 8YF, UK, the entire right, title and interest, together with all rights of priority, in and to my invention for **IMPROVED TOOLING SYSTEM**, and as described and claimed in the U.S. National Phase Application for United States Letters Patent filed on June 23, 2006, as application Serial No. 10/596,799, and as described and/or claimed in any and all applications for Letters Patent based thereon including divisions, continuations and reissues thereof as well as all foreign counterparts thereof together with all Letters Patent issuing on any of the aforesaid applications for Letters Patent, the same to be held and enjoyed by ASSIGNEE, its successors, assigns or other legal representatives, to the full ends of the terms of all said Letters Patent therefor which may be granted.

AND I HEREBY AUTHORIZE AND EMPOWER ASSIGNEE, its successors, assigns and legal representatives or nominees, to make applications for patent or other form of protection for my invention referenced above, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me, and to receive Letters Patent for said invention in any foreign countries in its own name, or in my name, at its election.

AND I HEREBY COVENANT AND AGREE that I will execute or procure any further necessary assurance of title to said invention and any Letters Patent which may issue therefor and that I will, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any legal proceedings and execute all papers that may be necessary or desirable to perfect the title to

said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns, or other legal representatives, and that I will, at any time, upon the request and at the expense of ASSIGNEE execute any continuations, divisions, reissues, or any other additional applications for Letters Patent for said invention or any part or parts thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths, and do all lawful acts required to procure the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

AND I HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to said sole assignee.

AND I HEREBY CONSENT that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right priority provided by the International Convention for the Protection of Industrial Property as, or by any convention which may henceforth be substituted for it.

AND I COVENANT with said assignee, its successors, assigns and legal representatives that the rights and property herein conveyed are free and clear of any encumbrance and that I have full right to convey the same as herein expressed.

x  x  
Ben John HALFORD

x 17/07/06 x  
Date